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1031 U.S. PTO  
19/11/01

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|--|--|------------------------|----------------|
| <b>UTILITY</b><br><br><b>PATENT APPLICATION TRANSMITTAL</b><br>(Only for new nonprovisional applications under 37 CFR 1.53(b)) | Attorney Docket No.                            | D/A1322<br>(1508/3380) | Total Pages: 3 |
|  | First Named Inventor or Application Identifier |                        |                |
|  | P. Hamilton Clark, III                         |                        |                |
|  | Express Mail Label No.                         | EL801322998US          |                |

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09/950087

|   |  |           |              |          |              |
|---|--|-----------|--------------|----------|--------------|
| <b>APPLICATION ELEMENTS</b><br>See MPEP Chapter 600 concerning utility patent application contents.   | <b>Commissioner for Patents</b><br><b>Box Patent Application</b><br><b>Washington, DC 20231</b>  |           |              |          |              |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form<br>(Submit an original, and a duplicate for fee processing)<br>2. <input checked="" type="checkbox"/> Specification (incl. claims) (Total Pages: 24)<br>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Sheets: 6)<br><input type="checkbox"/> Informal <input checked="" type="checkbox"/> Formal<br>Use Figure      for front page of Publication.<br>4. <input checked="" type="checkbox"/> Oath or Declaration (Total Pages: 3)<br>a. <input checked="" type="checkbox"/> Newly executed<br>(original or copy)<br>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br>(for continuation/divisional with Box 17 completed)<br>[Note Box 5 below]<br><input type="checkbox"/> i. <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting Inventor(s) named in the<br>prior application, see 37 CFR 1.63(d)(2) and 1.33(b).<br>5. <input type="checkbox"/> Incorporation By Reference<br>(usable if Box 4b is checked)<br>The entire disclosure of the prior application, from<br>which a copy of the oath or declaration is supplied<br>under Box 4b, is considered as being part of the<br>disclosure of the accompanying application and is<br>hereby incorporated by reference therein. | 6. <input type="checkbox"/> Microfiche Computer Program (Appendix)<br>7. Nucleotide and/or Amino Acid Sequence Submission<br>(If applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Copy<br>b. <input type="checkbox"/> Paper Copy (Identical to computer copy)<br>c. <input type="checkbox"/> Statement verifying identity of above copies<br><b>ACCOMPANYING APPLICATION PARTS</b><br>8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br>(when there is an assignee)<br>10. <input type="checkbox"/> English Translation Document (if applicable)<br>11. <input checked="" type="checkbox"/> Information Disclosure <input checked="" type="checkbox"/> Copies of IDS<br>Statement (IDS)/PTO-1449    Citations<br>12. <input type="checkbox"/> Preliminary Amendment<br>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)<br>14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior<br>Statement(s)      application, status still<br>proper and desired<br>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(If foreign priority is claimed)<br>16. <input type="checkbox"/> Other: |           |              |          |              |
| 17. <input type="checkbox"/> If a <b>CONTINUING APPLICATION</b> , check appropriate box and supply the requisite information:<br><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. /   |  |           |              |          |              |
| <b>18. CORRESPONDENCE ADDRESS</b><br><input type="checkbox"/> Same as prior application <input checked="" type="checkbox"/> Correspondence address below  |  |           |              |          |              |
| NAME  | Gunnar G. Leinberg, Esq.   |           |              |          |              |
| ADDRESS   | Nixon Peabody LLP, Clinton Square, P.O. Box 31051  |           |              |          |              |
| CITY  | Rochester  | STATE     | NY           | ZIP CODE | 14603-1051   |
| COUNTRY   | U.S.A.   | TELEPHONE | 716-263-1014 | FAX      | 716-263-1600 |

UTILITY PATENT APPLICATION TRANSMITTAL – 37 CFR 1.53(b)  
(Executed Attachment to Page 1)

Page 2

Attorney Docket No. D/A1322 (1508/3380)

19. ☐ Cancel in this application original claims: of the prior application before calculating the filing fee.  
(At least one original independent claim is retained for this filing.)
20. ☒ The filing fee is calculated below:

| CLAIMS AS FILED, LESS ANY CLAIMS CANCELED BY ABOVE-INDICATED AMENDMENT(S) |                  |                  |           |                  |
|---|------------------|------------------|-----------|------------------|
| (1) FOR   | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE  | (5) CALCULATIONS |
| TOTAL CLAIMS<br>(37 CFR 1.16(c))  | 30 - 20 =        | 10               | x \$18.00 | = \$180.00       |
| INDEPENDENT CLAIMS<br>(37 CFR 1.16(b))                                    | 6 - 3 =          | 3                | x \$80.00 | = \$240.00       |
| MULTIPLE DEPENDENT<br>CLAIMS (IF APPLICABLE)<br>(37 CFR 1.16(d))          |                  | ANY - - 0        | \$        | = \$0.00         |
| BASIC FEE (37 CFR 1.16(A))  |                  |                  |           | \$710.00         |
| TOTAL   |                  |                  |           | \$1130.00        |

21. ☒ The Commissioner is hereby authorized to charge any filing or prosecution fees which may be required, under 37 CFR 1.16, 1.17, and 1.21 (but not 1.18), or to credit any overpayment, to Account No. 24-0025.

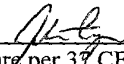
An additional copy of this form is enclosed.

22. ☒ This is an authorization under 37 CFR 1.136(a)(3) to treat any concurrent or future reply, requiring a petition for extension of time, as incorporating a petition for the appropriate extension of time.
23. ☐ Amend the specification by inserting before the first line the sentence:  
--This application is a ☐ continuation ☐ continuation-in-part ☐ divisional  
of Application(s) No(s). , filed .--
24. ☐ A CIP declaration is enclosed.
25. ☒ Power of Attorney
- a. ☐ The power of attorney appears in the original papers of the enclosed prior application.
- b. ☐ Enclosed is a copy of the declaration and power of attorney from the enclosed prior application.
- c. ☒ A new declaration with power of attorney is enclosed.
26. ☐ The following inventors named in the prior application are deleted per 37 CFR 1.53(b)(1), 1.63(d)(2) and 1.33(b):
27. ☐ This application is adding one or more inventors under 37 CFR 1.48 to a previously executed application, with an enclosed: petition, fee, newly executed declaration from all inventors, and written consent of the assignee.
28. ☐ This application claims the priority benefit of one or more Provisional Application No(s). and  
the first sentence of this application has been or will be amended to so indicate.

Attorney Docket No. D/A1322 (1508/3380)

29. ☐ Priority is claimed from  
(reinsert all previous priority claims for the entire chain of any prior applications).
30. ☐ This application is NOT to be published under 35 U.S.C. 112(b). The undersigned attorney or agent hereby certifies that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
31. ☒ The assignee of this application to be indicated on its publication is Xerox Corporation.
32. ☐ Other paper(s) enclosed:

Respectfully submitted,

  
\_\_\_\_\_  
Signature per 37 CFR 1.33 & 34  
Date: September 10, 2001  
Registration No. P-49,014  
Telephone No. 716-263-1519

**EXPRESS MAIL CERTIFICATE**

DOCKET NO. : **D/A1322 (1508/3380)**

APPLICANT(S) : **P. Hamilton Clark, III, Joel S. Cornell, Kathy Cupo, William Kane, Patricia A. Lauria, Janice L. Malaszenko, Audrey T. Pantas, and J. Ted Potter**

TITLE : **ELECTRONIC PROJECT MANAGEMENT SYSTEM USING PROJECT PHASES**

Certificate is attached to the **Utility Patent Application Transmittal Letter (3 pages) in duplicate** of the above-named application.

"EXPRESS MAIL" NUMBER: **EL801322998US**

DATE OF DEPOSIT: **September 10, 2001**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, **Box Patent Application**, Washington, D.C. 20231.

**Ruth R. Smith**

(Typed or printed name of person mailing paper or fee)

*Ruth R. Smith*

(Signature of person mailing paper or fee)

**EXPRESS MAIL CERTIFICATE**

DOCKET NO. : **D/A1322 (1508/3380)**

APPLICANT(S) : **P. Hamilton Clark, III, Joel S. Cornell, Kathy Cupo, William Kane, Patricia A. Lauria, Janice L. Malaszenko, Audrey T. Pantas, and J. Ted Potter**

TITLE : **ELECTRONIC PROJECT MANAGEMENT SYSTEM USING PROJECT PHASES**

Certificate is attached to the **Utility Patent Application which includes the Specification, Claims, and Abstract (24 pages) and Signed Combined Declaration and Power of Attorney (3 pages)** of the above-named application.

“EXPRESS MAIL” NUMBER: **EL801322998US**

DATE OF DEPOSIT: **September 10, 2001**

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**Ruth R. Smith**

(Typed or printed name of person mailing  
paper or fee)

*Ruth R. Smith*

(Signature of person mailing paper or fee)

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TITLE : **ELECTRONIC PROJECT MANAGEMENT SYSTEM USING PROJECT PHASES**

Certificate is attached to the **Formal Drawings (6 pages)** of the above-named application.

“EXPRESS MAIL” NUMBER: **EL801322998US**

DATE OF DEPOSIT: **September 10, 2001**

I hereby certify that this paper or fee is being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, **Box Patent Application**, Washington, D.C. 20231.

**Ruth R. Smith**

(Typed or printed name of person mailing  
paper or fee)

*Ruth R. Smith*

(Signature of person mailing paper or fee)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : P. Hamilton Clark, III, Joel S. Cornell,  
Kathy Cupo, William Kane, Patricia A.  
Lauria, Janice L. Malaszenko, Audrey  
T. Pantas, and J. Ted Potter  
Serial No. : To be assigned  
Cnfrm. No. : To be assigned  
Filed : Herewith  
For : ELECTRONIC PROJECT  
MANAGEMENT SYSTEM USING  
PROJECT PHASES

Examiner:  
To be assigned

Art Unit:  
To be assigned

SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner for Patents  
Washington, D.C. 20231


Box: Patent Application

Dear Sir:

Enclosed for filing in the subject application are **SIX (6)** sheets of formal drawings.

Respectfully submitted,

Date: September 10, 2001

  
John Campa  
Registration No. P-49,014

NIXON PEABODY LLP  
Clinton Square, P.O. Box 31051  
Rochester, New York 14603  
Telephone: (716) 263-1519  
Facsimile: (716) 263-1600

**EXPRESS MAIL CERTIFICATE**

DOCKET NO. : **D/A1322 (1508/3380)**

APPLICANT(S) : **P. Hamilton Clark, III, Joel S. Cornell, Kathy Cupo, William Kane, Patricia A. Lauria, Janice L. Malaszenko, Audrey T. Pantas, and J. Ted Potter**

TITLE : **ELECTRONIC PROJECT MANAGEMENT SYSTEM USING PROJECT PHASES**

Certificate is attached to the **Assignment Transmittal Letter (2 pages) and Assignment Form (1 page)** of the above-named application.

"EXPRESS MAIL" NUMBER: **EL801322998US**

DATE OF DEPOSIT: **September 10, 2001**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, **Box Patent Application**, Washington, D.C. 20231.

**Ruth R. Smith**  
(Typed or printed name of person mailing  
paper or fee)

*Ruth R. Smith*  
(Signature of person mailing paper or fee)